

**Topology I**  
(Uniform Convergence)  
Midterm  
May 2001  
Ali Nesin

Let  $X$  be a set and  $(Y, d)$  a metric space. For  $f, g$  two functions from  $X$  into  $Y$ , define

$$d_\infty(f, g) = \sup\{d(f(x), g(x)) : x \in X\} \in \mathbb{R} \cup \{\infty\}.$$

Consider the set  $B(X, Y) = \{f : X \rightarrow Y : f(X) \text{ is bounded}\}$ .

1. Show that  $d_\infty(f, g)$  is a real number for  $f, g \in B(X, Y)$ . (3 pts.)
2. Show that  $d_\infty$  is a distance on  $B(X, Y)$ . (3 pts.)

Recall that the sequence  $(f_n : X \rightarrow Y)_n$  is said to **converge pointwise** to the function  $f : X \rightarrow Y$  if for all  $x \in X$ ,

for all  $\varepsilon > 0$  there is an integer  $N = N_{\varepsilon, x}$  depending on  $\varepsilon$  and  $x$   
such that  $d(f_n(x), f(x)) < \varepsilon$  for all  $n > N$ .

The sequence  $(f_n)_n$  is said to **converge uniformly** to the function  $f$  if the integer  $N$  can be found independently of  $x$ , i.e. if

for all  $\varepsilon > 0$  there is an integer  $N = N_\varepsilon$  depending on  $\varepsilon$  alone  
such that  $d(f_n(x), f(x)) < \varepsilon$  for all  $x \in X$  and  $n > N$ .

i.e. if

for all  $\varepsilon > 0$  there is an integer  $N$  such that  $d_\infty(f_n, f) < \varepsilon$  for all  $n > N$ .

Clearly if  $(f_n)_n$  converges uniformly to  $f$ , then  $(f_n)_n$  converges pointwise to  $f$ . The converse is false as question #5 will show.

3. Let  $Y = \mathbf{R}$  and let  $(a_n)_n$  be a Cauchy sequence of  $\mathbf{R}$ . Let  $f_n$  be the constant function  $f_n(x) = a_n$ . Show that  $f_n$  converges pointwise to a function. Does  $f_n$  converge uniformly to a function? (3 pts.)

4. Let  $(f_n : X \rightarrow Y)_n$  be a sequence of functions that converges pointwise to  $f : X \rightarrow Y$ .

4a. Show that  $(f_n)_n$  converges uniformly to  $f$  iff the sequence  $(d_\infty(f_n, f))_n$  converges to 0. (3 pts.)

4b (**Cauchy's Criterion**). Show that a sequence  $(f_n : X \rightarrow \mathbf{R})_n$  of functions converges uniformly to a function iff for all  $\varepsilon > 0$  there is an integer  $N$  such that for all  $n, m > N$ ,  $d_\infty(f_n, f_m) < \varepsilon$ . **Hint:** What can  $f$  be? (10 pts.)

4c. Show that  $B(X, \mathbf{R})$  is a complete metric space<sup>1</sup> (with respect to  $d_\infty$ ). (5 pts.)

5. Let  $X = Y = [0, 1]$ . Let  $f_n(x) = x^n$ .

---

<sup>1</sup> This means that every Cauchy sequence with respect to the distance  $d_\infty$  is convergent.

**5a.** To what function does the sequence  $(f_n)_n$  converge pointwise? (2 pts.)

**5b.** Show that the sequence  $(f_n)_n$  does not converge uniformly to any function. (5 pts.)

**5c.** Assume now that  $X = Y = [0, 1]$ . What can you say about the convergence of  $(f_n)_n$ ? (3 pts.)

**5d.** Assume now that  $X = Y = [0, a]$  for some  $a < 1$ . What can you say about the convergence of  $(f_n)_n$ ? (3 pts.)

**5e.** Let  $X = [0, 1]$  and  $Y = \mathbb{R}$ . Let  $f_n(x) = n^2x(1-x)^n$ . Show that the sequence  $(f_n)_n$  converges pointwise to the zero function, but that the convergence is not uniform. (5 pts.)

**5f.** Now take  $X = [0, 1]$  and  $f_n(x) = xe^{-nx^2}$ . Show that the sequence  $(f_n)_n$  converges to the zero function uniformly. (5 pts.)

**6.** Let  $(f_n : X \rightarrow Y)_n$  be a sequence of functions from a topological space  $X$  into a metric space  $Y$ . Assume the sequence  $(f_n)_n$  converges uniformly to  $f$ .

**6a.** Assume  $X = (a, b)$  and that each  $f_n$  is continuous at some  $c \in X$ . Show that  $f$  is continuous at  $c$  as well. (6 pts.)

**6b.** Assume that each  $f_n$  is bounded. Show that  $f$  is bounded as well. (3 pts.)

**6c.** Assume that each  $f_n$  is bounded. Show that there is a uniform bound for the  $f_n$  i.e. for  $a \in Y$  there is an  $M$  such that  $d(f_n(x), a) < M$  for all  $n$  and all  $x \in X$ . (5 pts.)

**6d.** Generalize #6a to general topological spaces. (15 pts.)

**6e.** Show that the set  $CB(X, Y)$  of continuous and bounded functions is a closed subset of  $B(X, Y)$ . (4 pts.)

**7a.** Show that the set of sequences of functions  $(f_n : X \rightarrow \mathbb{R})_n$  that converge uniformly is a vector space over  $\mathbb{R}$ . (7 pts.)

**7b.** Assume  $(f_n)_n$  and  $(g_n)_n$  are sequences from  $B(X, \mathbb{R})$  that converge uniformly to  $f$  and  $g$  respectively. Show that  $(f_n g_n)_n$  converges uniformly. **Hint:** See 4a and 6c. (6 pts.)

**7c.** We will now show that the set of sequences of functions  $(f_n : X \rightarrow \mathbb{R})_n$  that converge uniformly is not closed under product. Take  $X = \mathbb{R}$ .

Let  $f_n(x) = (1+n^{-1})x$  and let

$$g_n(x) = \begin{cases} n^{-1} & \text{if } x = 0 \text{ or } x \notin \mathbb{Q} \\ q + n^{-1} & \text{if } x = p/q \text{ where } p \in \mathbb{Z}, q \in \mathbb{N} \text{ and } (p, q) = 1 \end{cases}$$

Show that  $(f_n)_n$  and  $(g_n)_n$  converge uniformly on any closed and bounded interval, but that their product does not converge uniformly on any closed interval that contains more than one point. (8 pts.)

**8.** Assume  $X$  is also a metric space that each  $f_n$  is uniformly continuous<sup>2</sup> and that  $(f_n)_n$  converges to  $f$ . Show that  $f$  is uniformly continuous. (5 pts.)

**9 (Dini's Theorem).** Assume  $X$  is a compact topological space and  $Y = \mathbb{R}$ . Assume that each  $f_n$  is continuous, that  $f_n \geq f_{n+1}$  and that  $(f_n)_n$  converges pointwise to a continuous function. Show that the convergence is uniform. (41 pts.)

---

<sup>2</sup> Recall that a function  $f$  is **continuous** if for all  $a \in X$  and for all  $\epsilon > 0$  there is a  $\delta_{\epsilon, a} > 0$  such that if  $d(x, a) < \delta$  then  $d(f(x), f(a)) < \epsilon$ . The function  $f$  is called uniformly continuous if  $\delta$  can be chosen independently of  $a$ , i.e. if for all  $\epsilon > 0$  there is a  $\delta_\epsilon > 0$  such that for all  $x, a$  if  $d(x, a) < \delta$  then  $d(f(x), f(a)) < \epsilon$ .