## Math 111 Fall 2005 Final Ali Nesin

**0a.** Show that for any natural number m if  $n \in m$  then  $n \subseteq m$ . (4 pts.) **Proof:** By induction on m.

If  $m = 0 = \emptyset$ , there is no such *n*, so this case is trivial.

Assume the statement for *m* and let  $n \in m + 1 = m \cup \{m\}$ . Then either  $n \in m$  or n = m. In the first case  $n \subseteq m$  by induction. Thus in both cases  $n \subseteq m$ . Hence  $n \subseteq m \subseteq m \cup \{m\} = m + 1$ .

**0b.** Show that for any natural number  $n, n \notin n$ . (4 pts.)

**Proof:** Clearly  $0 \notin \emptyset = 0$ . Assume  $n \notin n$ . If  $n + 1 \in n + 1 = n \cup \{n\}$ , then  $n + 1 \in n$  or n + 1 = n. In both cases  $n + 1 \subseteq n$ . Thus  $n \cup \{n\} \subseteq n$ . Hence  $n \in n$ , a contradiction.

**0c.** Let  $\omega$  be the set of natural numbers. Show that  $\omega \neq n$  for any  $n \in \omega$ . (3 pts.) **Proof:** By induction on *n*. If  $n = 0 = \emptyset$ , since  $0 = \emptyset \in \omega$ , clearly  $\omega \neq 0$ . Assume  $n \neq \omega$  but that  $n + 1 = \omega$ . Then  $n + 1 \in \omega = n + 1$ , contradicting 0b.

**0d.** Show that  $\omega \notin \omega$ . (2 pts.) **Proof:** Assume  $\omega \in \omega$ . Then  $\omega = n$  for some  $n \in \omega$ . Contradicting 0c.

**0e.** Let  $\omega + 1 = \omega \cup \{\omega\}$ . Show that  $\omega + 1 \notin \omega + 1$  (3 pts.) **Proof:** Assume  $\omega + 1 \in \omega + 1 = \omega \cup \{\omega\}$ . Then either  $\omega + 1 \in \omega$  or  $\omega + 1 = \omega$ , i.e. either  $\omega \cup \{\omega\} \in \omega$  or  $\omega \cup \{\omega\} = \omega$ . In both cases  $\omega \in \omega$ , contradicting 0d.

**1.** A set X is called  $\in$  -complete if every element of X is a subset of X. **1a.** Show that a set X is  $\in$  -complete if and only if  $\cup X \subseteq X$ . (2 pts.) **Proof:** ( $\Rightarrow$ ) Let  $a \in \cup X$ . Then there is a  $b \in X$  such that  $a \in b$ . Since X is  $\in$ complete,  $b \subseteq X$ . Thus  $a \in b \subseteq X$  and so  $a \in X$ . ( $\Leftarrow$ ) Let  $a \in X$ . Then  $a \subseteq \cup X \subseteq X$ .

**1b.** Show that if X is complete, then  $X \cup \{X\}$  is  $\in$  -complete. (3 pts.) **Proof:**  $\cup (X \cup \{X\}) = \bigcup_{x \in X \cup \{X\}} x = (\bigcup_{x \in X} x) \cup X = (\bigcup X) \cup X = X.$ 

**1c.** *Give infinitely many examples of*  $\in$  *-complete sets.* (2 pts.)

**Answer:** Every natural number is an  $\in$ -complete set. We can prove this by induction on  $n \in \mathbb{N}$  by using 1b.

**1d.** Show that if A is a set of  $\in$ -complete sets, then  $\cap A$  and  $\cup A$  are also complete. (2 pts.)

**Proof:** Let  $x \in \cap A$ . Then  $x \in y$  for any  $y \in A$ . But y is  $\in$ -complete, so  $x \subseteq y$  for any  $y \in A$ . Thus  $x \subseteq \cap A$ .

Let  $x \in \bigcup A$ . Then  $x \in y$  for some  $y \in A$ . But y is  $\in$ -complete, so  $x \subseteq y$ . Thus  $x \subseteq \bigcup A$ .

**1e.** Assume  $\{x\}$  is  $\in$ -complete. What can you say about x? (3 pts.)

**Answer:** Since x is the only element of  $\{x\}$ ,  $\{x\}$  is  $\in$ -complete if and only if  $x \subseteq \{x\}$  if and only if  $x = \emptyset$  or  $x = \{x\}$  if and only if  $x = \emptyset$  or  $x \in x$ .

**1f.** Let X be any set. Define  $X_0 = X$  and  $X_{n+1} = X_n \cup (\bigcup X_n)$  for any  $n \in \mathbb{N}$ . Let  $X_0 = \bigcup_{n \in \mathbb{N}} X_n$ . Assuming  $X_0$  is a set, show that it is the smallest  $\in$ -complete set containing X. (8 pts.)

**Proof:** Remark first that  $X = X_0 \subseteq X_1 \subseteq X_2 \subseteq ... \subseteq X_n \subseteq X_{n+1} \subseteq ... \subseteq X_{\omega}$ .

Next we show that  $X_{\omega}$  is  $\in$ -complete. Let  $x \in X_{\omega}$ . Then  $x \in X_n$  for some natural number *n*. Hence  $x \subseteq \bigcup X_n \subseteq X_{n+1} \subseteq X_{\omega}$ .

Now we show that  $X_{\omega}$  is the smallest  $\in$ -complete set containing *X*. Let *Y* be any  $\in$ -complete set containing *X*. Clearly  $X_{\omega} \subseteq Y$  is equivalent to  $X_n \subseteq Y$  for any natural number *n*. We will show by induction on *n* that  $X_n \subseteq Y$  for any natural number *n*. If *n* = 0 then  $X_n = X_0 = X \subseteq Y$ . Assume  $X_n \subseteq Y$ . Then

$$X_{n+1} = X_n \cup (\cup X_n) \subseteq X_n \cup (\cup Y) \subseteq X_n \cup Y = Y.$$

**2.** A set X is  $\in$  -connected if for any two distinct elements x, y of X, either  $x \in y$  or  $y \in x$ .

**2a.** *Give infinitely many examples of*  $\in$  *-connected sets.* (2 pts.) **Answer:** Every natural number is  $\in$  -connected.

**2b.** Show that a subset of  $an \in$ -connected set is  $\in$ -connected. (3pts.)

**Proof:** Let *X* be  $\in$ -connected. Let *Y*  $\subseteq$  *X*. Let *x*, *y*  $\in$  *Y* be two distinct elements. Then *x*, *y*  $\in$  *Y*. Hence either *x*  $\in$  *y* or *y*  $\in$  *x*.

**2c.** Show that if X is  $\in$ -connected, then  $X \cup \{X\}$  is also  $\in$ -connected. (3 pts.)

**Proof:** Let  $x, y \in X \cup \{X\}$  be two distinct elements. If both x and y are elements of X then either  $x \in y$  or  $y \in x$ . If one of them, say x = X, then  $y \in x$ .

**2d.** Assume  $\{x\}$  is  $\in$ -connected. What can you say about x? (2 pts.)

**Proof:** Nothing! A singleton set  $\{x\}$  is  $\in$ -connected because it has no two distinct elements.

**3.** Axiom of Regularity says that every nonempty set A has an element x such that  $A \cap x = \emptyset$ .

**3a.** Assuming the Axiom of Regularity show that no set x is a member of itself. (2 pts.)

**Proof:** Let *x* be any set and let  $A = \{x\}$ . By the Axiom of Regularity  $A \cap x = \emptyset$ , i.e.  $\{x\} \cap x = \emptyset$ , hence  $x \notin x$  (otherwise  $x \in \{x\} \cap x$ ).

**3b.** Assuming the Axiom of Regularity show that there are no sets x and y such that  $x \in y$  and  $y \in x$ . (2 pts.)

**Proof:** Let *x* and *y* be any two sets and let  $A = \{x, y\}$ . By the Axiom of Regularity either  $A \cap x = \emptyset$  or  $A \cap y = \emptyset$ , say  $A \cap x = \emptyset$ . Thus  $\{x, y\} \cap x = \emptyset$ . Since  $x \notin x$  by 3a, this means that  $y \notin x$ .

**3c.** Assuming the Axiom of Regularity show that there are no sets x, y and z such that  $x \in y$ ,  $y \in z$  and  $z \in x$ . (2 pts.)

**Proof:** The same as above with  $A = \{x, y, z\}$ .

**4.** An ordinal is an  $\in$ -complete and  $\in$ -connected set.

**4a.** Assuming the Axiom of Regularity show that an ordinal is well-ordered by the relation  $\in$  . (10 pts.)

**Proof:** Let *X* be an ordinal.

Let  $x \in X$ . By 3a,  $x \notin x$ .

Let x, y,  $z \in X$ . Assume  $x \in y \in z$ . By 3b,  $x \neq z$ . Since X is  $\in$ -connected, this implies that either  $x \in z$  or  $z \in x$ . The last one is forbidden by 3c. Hence  $x \in z$ .

Thus *X* is partially ordered by the relation  $\in$ .

It remains to show that any nonempty subset *Y* of *X* has a least element. (This implies that *X* is totally ordered). By the Axiom of Regularity, there is an  $x \in Y$  such that  $x \cap Y = \emptyset$ . Now we show that for any  $y \in Y$ , either y = x or  $x \in y$ . Assume  $y \neq x$ . Then, *x*, *y* are two distinct elements of *X* and *X* is  $\in$ -connected, either  $y \in x$  or  $x \in y$ . If  $y \in x$ , then  $y \in x \cap Y = \emptyset$ , a contradiction. Thus  $x \in y$ .

**4b.** Show that if  $\alpha$  is an ordinal, so is  $\alpha + 1$ . (Recall that  $\alpha + 1$  is defined to be  $\alpha \cup \{\alpha\}$ ). (3 pts.)

**Proof:** By 1b and 2c.

**4c.** Show that if  $\beta$  is an ordinal, then  $\cup(\beta+1) = \beta$ . (5 pts.)

**Proof:**  $\cup(\beta+1) = \bigcup_{\gamma \in \beta+1} \gamma = \bigcup_{\gamma \in \beta \cup \{\beta\}} \gamma = (\bigcup_{\gamma \in \beta} \gamma) \cup \beta = \beta$  because, since  $\beta$  is an ordinal, for any  $\gamma \in \beta$ ,  $\gamma \subseteq \beta$ .

**4d.** Show that every natural number is an ordinal. (2 pts.) **Proof:**  $0 = \emptyset$  and  $\emptyset$  is an ordinal. If *n* is an ordinal n + 1 is an ordinal buy 4c.

**4e.** Assuming the Axiom of Regularity, show that every ordinal is either  $\emptyset$  or contains  $\emptyset$  as an element. (3 pts.)

**Proof:** Assume  $\alpha \neq \emptyset$  is an ordinal. Then by 4a,  $\alpha$  has a least element, say  $\beta$ . By 4a again,  $\beta \cap \alpha = \emptyset$ . But, since  $\beta \in \alpha$ ,  $\beta \subseteq \alpha$ . Hence  $\beta = \beta \cap \alpha = \emptyset$ .

**4f.** Show that the set  $\omega$  of natural numbers is an ordinal. (2 pts.) **Proof:** This is clear.

**4g.** Assuming the Axiom of Regularity, show that every element of an ordinal is an ordinal. (3 pts.)

**Proof:** Let *X* be an ordinal. Let  $x \in X$ .

We first show that x is an  $\in$ -complete set. Let  $y \in x$ . Let  $z \in y$ . Then  $z \in y \in x$ . Hence by 4a,  $z \in x$ . Thus  $y \subseteq x$ .

Now we show x is  $\in$  -connected. Let y,  $z \in x$  be two distinct elements of x. Since  $x \subseteq X$ , y and z are elements of X. Hence either  $y \in z$  or  $z \in y$ .

**4h.** An ordinal  $\alpha \neq \emptyset$  is called a **limit ordinal** if  $\alpha$  is not of the form  $\beta + 1$  for some  $\beta \in \alpha$ . Show that  $\omega$  is a limit ordinal but that no natural number is a limit ordinal. (5 pts.)

**Proof:** Suppose  $\omega = \beta + 1$  for some  $\beta \in \omega$ . Then  $\omega = \beta + 1 \in \omega$  and we know this is

**4i.** Show that if *X* is a set of ordinals such that for all  $\alpha$ ,  $\beta \in X$  either  $\alpha \subseteq \beta$  or  $\alpha = \beta$  or  $\beta \subseteq \alpha$ , then  $\cup X$  is an ordinal. (5 pts.)