## Ordinals

Summer Midterm II<br>15th of June, 1999<br>Ali Nesin

Prelude: Let $X$ be a set and < be a total order on $X$. We say that $(X,<)$ is a well-ordered set (or that $<$ well-orders $X$ ) if every nonempty subset of $X$ contains a minimal element for that order, i.e., if for every nonempty subset $A$ of $X$, there is an $m \in A$ such that $m \leq a$ for all $a$ in $A$. Clearly, given $A$, such an $m$ is unique.

Of course, subsets of the well-ordered set $X$ inherit the wellorder of $X$.

If $(X,<)$ is an ordered set and $x \in X$, we define

$$
s(x)=\{y \in X: y<x\} \text { (the initial segment of } x \text { ) }
$$

If $X$ is a set, we set $X^{+}=X \cup\{X\}$. By the axiom of regularity, $X$ is a proper subset of $X^{+}$.

1. Assume $X$ is a well-ordered set. Order $X^{+}$by extending the order of $X$ and stating that $X$ is larger than its elements (i.e. put the element $X$ to the very end of $X$ ). Show that $X^{+}$is also a well-ordered set. (3 pts.)
2. (Transfinite Induction) Let $(X,<)$ be a well-ordered set and let $A \subseteq X$ be such that for all $x \in X$, if $s(x) \subseteq A$, then $x \in A$. Show that $A=X$. ( 5 pts .)
3. Let $X$ and $Y$ be two well-ordered sets. Let
$A=(X \times\{0\}) \cup(Y \times\{1\})$.
Order $A$ as follows:

$$
\begin{aligned}
& \left(x_{1}, 0\right)<\left(x_{2}, 0\right) \text { for all } x_{1} \text { and } x_{2} \text { in } X \text { and } x_{1}<x_{2} \text {. } \\
& \left(y_{1}, 1\right)<\left(y_{2}, 1\right) \text { for all } y_{1} \text { and } y_{2} \text { in } Y \text { and } y_{1}<y_{2} . \\
& (x, 0)<(y, 1) \text { for all } x \in X \text { and } y \in Y .
\end{aligned}
$$

Show that the above relation well-orders $A$. (4 pts.)
An ordinal is a well-ordered set $\alpha$ such that $\beta=s(\beta)$ for all $\beta \in$ $\alpha$. Thus an ordinal is a set well-ordered by the relation $\in$ :

For all $\beta, \gamma \in \alpha, \gamma<\beta$ iff $\gamma \in \beta$.
4. Show that $\varnothing$ is an ordinal. (2 pts.)
5. Show that if $\alpha \neq \varnothing$ is an ordinal, then $\varnothing \in \alpha$ and $\varnothing$ is the least element of $\alpha$. (7 pts.)
6. Show that if $\alpha$ is an ordinal and $\beta \in \alpha$, then $\beta \subset \alpha$. (2 pts.)
7. Show that every element of an ordinal is an ordinal. (2 pts.)
8. Show that if $\alpha$ is an ordinal, then $\alpha^{+}$is also an ordinal. (2 pts.)
9. Let $\alpha$ be an ordinal and $\beta \in \alpha$. Show that either $\beta^{+} \in \alpha$ or $\beta^{+}$ $=\alpha$. (8 pts.)
10. In exercise 3 take $X=\omega$ and $Y=1=\{0\}$. Show that the well-ordered set $A$ obtained there is isomorphic to the ordinal $\omega^{+}$, i.e. there is an order-preserving bijection from $A$ onto $\omega^{+}$. (4 pts.)
11. In exercise 3 take $X=1=\{0\}$ and $Y=\omega$. Show that the well-ordered set $A$ obtained there is isomorphic to $\omega$, i.e. there is an order-preserving bijection from $A$ onto $\omega$. (4 pts.)
12. Let $\alpha, \beta$ be ordinals. Show that either $\alpha<\beta$ or $\alpha=\beta$ or $\beta<$ $\alpha$. (18 pts.)
13. Show that the union of a set of ordinals is an ordinal. (3 pts.)
14. Let $\alpha$ and $\beta$ be two ordinals. Let $f: \alpha \rightarrow \beta$ be a strictly increasing function. Show that if $f$ is onto, then $\alpha=\beta$ and $f$ is the identity map. (18 pts.)
15. Show that every well-ordered set is isomorphic to an ordinal. (18 pts.)

