## Math 112 Final Exam June 7, 2005 Ali Nesin

**1.** Let *B* be a set. Let  $A \subseteq B$ . Assume that *A* is well-ordered say by <. Show that the well-order < on *A* can be extended to a well-order on *B* in such a way that *A* is an initial segment of *B*. (10 pts.)

**Proof:** Let *Z* be the set of well-ordered sets (X, <) such that

a)  $A \subseteq X \subseteq B$ ,

b) (X, <) extends the order < of A,

c) *A* is an initial segment of *X*.

Order *Z* as follows:  $(X, <_X) \le (Y, <_Y)$  if

a) 
$$X \subseteq Y$$
,

b)  $<_Y$  extends  $<_X$ 

c) *X* is an initial segment of *Y*.

Then *Z* is nonempty and is an inductive set: If  $(X_i, <_i)_{i \in I}$  is a chain from *Z* then  $\bigcup_{i \in I} X_i$  can be well-ordered naturally extending the order of each  $X_i$  and as such  $X_i \leq \bigcup_{i \in I} X_i$  for each  $i \in I$ .

It follows from Zorn's Lemma that *Z* has a maximal element, say (C, <). If  $C \subset B$ , then take any  $b \in B \setminus C$  and extend the order of *C* to the set  $C \cup \{b\}$  by putting *b* to the very end of *C*. Then  $(C, <) < (C \cup \{b\}, <)$  and this contradicts the maximality of (C, <).

A **cardinal number** is an ordinal number  $\kappa$  such that there is no bijection between  $\kappa$  and any ordinal  $\alpha < \kappa$ .

2. Show that each natural number is a cardinal number. (5 pts.)

**Proof:** We will show that, for any  $n \in \omega$ , there is no one-to-one map from *n* into any m < n. This will prove that each  $n \in \omega$  is a cardinal number. Clearly 0 is a cardinal number. Assuming  $n \in \omega$  is a cardinal number we show that S(n) is a cardinal number. Assume there is an  $m \in S(n) = n \cup \{n\}$  and a one to one map  $f : S(n) \to m$ . Set  $f(n) = i \in m$ . Then *f* induces a one to one map  $g : n \to m \setminus \{i\}$ . Since  $i \in m, m \neq 0$ ; so there is a *k* such that m = S(k). Define  $h : m \setminus \{i\} \to k$  by h(j) = j if j < i and h(j) = j - 1 if j > i. Then *h* is a bijection from  $m \setminus \{i\}$  into *k*. Now  $h \circ g : n \to k$  is a one to one map. Since  $k < S(k) = m \leq n$ , this contradicts the inductive assumption.

**3.** Show that  $\omega$  is a cardinal number. (4 pts.)

**Proof:** We need to show that there is no one to one map from  $\omega$  into a natural number  $n \in \omega$ . We proceed by induction. If n = 0 this is clear. Let  $f : \omega \to S(n)$  be a one to one map. Assume  $f(0) = i \in S(n)$ . Then  $f \circ S : \omega \to S(n) \setminus \{i\}$  is a one to one map. As above, we can find a bijection *g* between  $S(n) \setminus \{i\}$  and *n*. Thus  $g \circ f \circ S$  is a one to one map from  $\omega$  into *n* and this contradicts the inductive assumption.

**4.** Find an ordinal which is not a cardinal. (2 pts.)

**Proof:**  $S(\omega)$  is not a cardinal because the map  $f : S(\omega) \to \omega$  defined by  $f(\omega) = 0$  and f(n) = S(n) for all  $n \in \omega$  is a bijection.

**5.** Show that if X is a set then there is a unique cardinal number  $\kappa$  such that there is a bijection between X and  $\kappa$ . This  $\kappa$  is called the cardinality of X and is denoted by |X|. (5 pts.)

**Proof:** By taking  $A = \emptyset$  in Q1, we know that *X* can be well-ordered. Thus there is a bijection between *X* and an ordinal, say  $\alpha$ . Let  $U = \{\beta \in S(\alpha) : \text{there is a bijection from } X \text{ onto } \beta\}$ . Then *U* is a nonempty subset of  $S(\alpha)$  because  $\alpha \in U$ . Hence *U* has a least element, say  $\beta$ . Thus there is a bijection between *X* and  $\beta$  and there is no bijection between *X* and any ordinal  $\gamma < \beta$ . Hence  $\beta$  must be a cardinal number.

**6.** Show that if X is infinite (meaning  $|X| \ge \omega$ ) and  $x \in X$  then  $|X \setminus \{x\}| = |X|$ . (8 pts.)

**Proof:** By Q1 we can well order X so that x is the least element. Thus we can assume that  $X = \kappa$  is a cardinal number and that x = 0. We have to show that there is a bijection between  $\kappa$  and  $\kappa \setminus \{0\}$ . Since  $\kappa$  is infinite,  $\omega \subseteq \kappa$  and it is enough to show that there is a bijection between  $\omega$  and  $\omega \setminus \{0\}$ . This is easy: S is such a bijection.

7. Show that if  $\alpha$  is a cardinal number  $\geq \omega$  then  $\alpha$  is a limit ordinal. (5 pts.)

**Proof:** We have to show that if  $\alpha$  is an infinite ordinal then  $S(\alpha)$  is not a cardinal. We will show that there is a bijection between  $S(\alpha)$  and  $\alpha$ , proving that  $S(\alpha)$  cannot be an ordinal. Setting  $X = S(\alpha)$  in Q7, we obtain the result.

**8.** Show that for each cardinal number  $\kappa$  there is a unique cardinal number  $\lambda$  such that  $\kappa < \lambda$  and that there is no cardinal number  $\alpha$  such that  $\kappa < \alpha < \lambda$ . We denote this cardinal number by  $\kappa^+$ . (6 pts.)

**Proof:** Let  $|\mathcal{P}(\kappa)| = \lambda$ . If  $\lambda \le \kappa$  then there would be a one to one map *f* from  $\mathcal{P}(\kappa)$  into  $\kappa$ . Define  $g : \kappa \to \mathcal{P}(\kappa)$  by  $g(\alpha) = f^{-1}(\alpha)$  if  $\alpha \in f(\mathcal{P}(\kappa))$  and let  $g(\alpha) = \emptyset$  if  $\alpha \notin f(\mathcal{P}(\kappa))$ . Then *g* is onto. But as we know there is no such map.

**9.** Let  $\alpha$  and  $\beta$  be two cardinal numbers. Find two disjoint sets *A* and *B* such that  $|A| = \alpha$  and  $|B| = \beta$ . (2 pts.)

**Proof:** Let  $A = \alpha \times \{0\}$  and  $B = \beta \times \{1\}$ .

**10.** If  $\alpha$ ,  $\beta$ , *A* and *B* are as above, we define,

$$\alpha + \beta = |A \cup B|$$
  

$$\alpha\beta = |A \times B|$$
  

$$\alpha^{\beta} = |A^{B}|.$$

(Here  $A^B$  is the set of functions from *B* into *A*). What do you need to show for this to be a valid definition? (2 pts.) Do we really need *A* and *B* to be disjoint in all three definitions? (3 pts.)

**11.** Show that  $\kappa < 2^{\kappa}$ . (4 pts.)

**12.** For any cardinal number  $\kappa$ , find  $\kappa + 0$ ,  $0\kappa$ ,  $\kappa^0$ ,  $0^{\kappa}$ ,  $1\kappa$ ,  $\kappa^1$ ,  $1^{\kappa}$  (3 pts.)

13. Show that for any cardinal numbers  $\alpha$ ,  $\beta$ ,  $\gamma$ ,

 $\alpha + \beta = \beta + \alpha$   $(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma)$   $\alpha\beta = \beta\alpha$   $(\alpha\beta)\gamma = \alpha(\beta\gamma)$   $\alpha(\beta + \gamma) = \alpha\beta + \alpha\gamma$   $\alpha^{\beta}\alpha^{\gamma} = \alpha^{\beta+\gamma}$   $(\alpha^{\beta})^{\gamma} = \alpha^{\beta\gamma}$  $\alpha^{\gamma}\beta^{\gamma} = (\alpha\beta)^{\gamma}$  (16 pts.)

14. Show that if at least one of  $\alpha$  and  $\beta$  is an infinite cardinal number and if thety are both nonzero then  $\alpha + \beta = \max{\{\alpha, \beta\}}$  and  $\alpha\beta = \max{\{\alpha, \beta\}}$ . (5 pts.)

**15.** Show that if  $\alpha \leq \beta$  and  $\gamma \leq \delta$  are cardinal numbers, then

$$\begin{aligned} \alpha + \gamma &\leq \beta + \delta \\ \alpha \gamma &\leq \beta \delta \\ \alpha^{\gamma} &\leq \beta^{\delta} \text{ unless } \alpha = \gamma = \beta = 0 < \delta \end{aligned}$$

(9 pts.)

**14.** Let  $(\alpha_i)_{i \in I}$  be a family of cardinal numbers. Show that there is a family  $(A_i)_i$  of sets such that  $|A_i| = \alpha_i$  and  $A_i \cap A_j = \emptyset$  for all distinct  $i, j \in I$ . (2 pts.)

**15.** Let  $(\alpha_i)_{i \in I}$  and  $(A_i)_i$  be as above. Define

 $\sum_{i\in I} \alpha_i = |\bigcup_{i\in I} A_i|$ 

and

 $\prod_{i\in I} \alpha_i = |\prod_{i\in I} A_i|.$ 

Do we need the sets  $A_i$  to be pairwise disjoint in both definitions? (2 pts.)

**16.** Let  $(\alpha_i)_{i \in I}$  be a family of cardinal numbers. Assume that for some cardinal number  $\alpha$ ,  $\alpha_i = \alpha$  for all *i*. Show that  $\sum_{i \in I} \alpha_i = \alpha |I|$  and  $\prod_{i \in I} \alpha_i = \alpha^{|I|}$ . (6 pts.)

**17.** Let  $(\alpha_i)_{i \in I}$  and  $(\beta_i)_{i \in I}$  be two families of cardinal numbers. Assume that  $\alpha_i \leq \beta_i$  for all  $i \in I$ . Show that  $\sum_{i \in I} \alpha_i \leq \sum_{i \in I} \beta_i$  and that  $\prod_{i \in I} \alpha_i \leq \prod_{i \in I} \beta_i$ . (6 pts.)

**18.** Let  $(\alpha_i)_{i \in I}$  and  $(\beta_i)_{i \in I}$  be two families of cardinal numbers. If  $\alpha_i < \beta_i$  for all *i* then  $\sum_{i \in I} \alpha_i < \prod_{i \in I} \beta_i$  (König's Theorem). (20 pts.)